



2611  
RJew

Application # 09/436,432

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Kendyl A. Roman

Art Unit: 2611

Appl. No.: 09/436,432

Examiner: Rieu Oanh T. Bui

Filed: 11/08/1999

Title: System for Transmitting Video Images over a Computer Network to a Remote Receiver

Commissioner for Patents  
Alexandria, Virginia 22313-1450

**CONTENT OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER § 1.98 (a) (3) (i)**

Dear Sir:

Applicant submits herewith patents, publications and/or other information (attached hereto and listed on the attached Form PTO-1449) of which he is aware pursuant to his duty of disclosure in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of any Final Rejection, a Notice of Allowance, or an action that otherwise closes prosecution in the application, whichever occurs first, and is accompanied by either the fee set forth in 37 C.F.R. § 1.17(p) or a certification as specified in 37 C.F.R. § 1.97(e), 37 C.F.R. § 1.97(c).

This document is to be considered as a petition requesting consideration of the information disclosure statement.

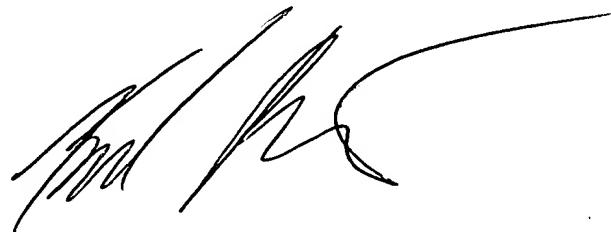
No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

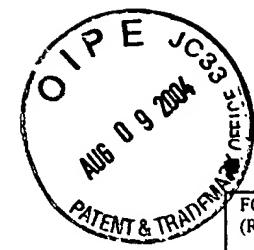
The following references listed below, and on attached form 1449A/PTO, were cited regarding the patent application, Serial Number 09/467,721 filed 20 December 1999:

US 6,058,215	Schwartz et al.	May 2000
US 6,005,979	Chang et al.	December 1999

Pursuant to 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. Furthermore, pursuant to 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability, as defined in 1.56(b). The filing of this Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

A handwritten signature in black ink, appearing to read "John P. K." or a similar variation, is placed here.



Sheet 1 of 1

Sheet 1 of 1			
FORM PTO-1449 (Modified) (Rev. 7-80)	U.S. Dept. of Commerce Patent and Trademark Office	Atty. Docket No:	Appl. No: 09/436,432
<b>LIST OF REFERENCES CITED BY APPLICANT</b>		Applicant: Kendyl A Roman	
(Use several sheets if necessary)		Filing Date: 11/08/1999	

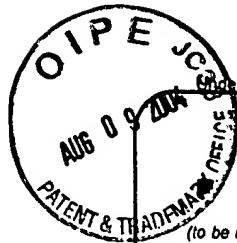
## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

		Document Number	Publication Date	Country	Class	Subclass	Translation Yes      No

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**


\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

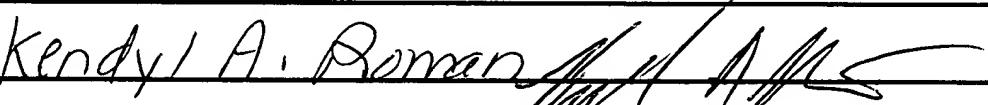
Total Number of Pages in This Submission

**57**

Application Number	<b>09/436,432</b>
Filing Date	<b>11/08/1999</b>
First Named Inventor	<b>Kendy A. Roman</b>
Art Unit	<b>2611</b>
Examiner Name	<b>Bieu Anh T. BUI</b>
Attorney Docket Number	

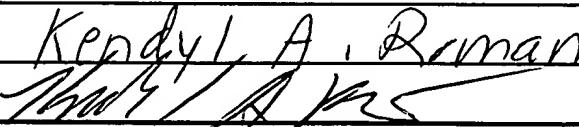
<b>ENCLOSURES (Check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	<b>Kendy A. Roman</b>	
Signature		
Date	<b>8/15/04</b>	

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	<b>Kendy A. Roman</b>	
Signature		Date <b>8/15/04</b>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*